



# EIX Primary and Secondary Markets

P2: Pilot Application Form May 2019

## How to complete this application form

This application form should be completed by applicants seeking to become Pilots to the Estates and Infrastructure Exchange (“EIX”).

It is essential to read this application form in conjunction with the EIX Rules for Pilots as published by the Exchange from time to time. (“Pilot Rules”)

If you would like to discuss the application process or the Pilot Rules in more detail, please contact the EIX Pilot Partner at [pilots@eix.global](mailto:pilots@eix.global).

In accordance with the **EIX Pilot Rules**, applicants must submit a completed application form together with any supporting documents. In addition to information that is specifically requested on this form the applicant should supply:

- company statutes and the certificate of incorporation or in the case of a partnership, the list of partners and the partnership deed
- a diagram outlining the group/partnership structure
- applicants audited accounts for the last three years
- a Qualified Executive form for each of the applicant firm’s approved executives (see Pilot Qualified Executive Form in Appendix)

EIX will keep confidential all information provided to it by the applicant in relation to its application except to the extent that disclosure of any of all of the information is necessary for the exercise of its regulatory duty or is otherwise required by law.

Please return this form with all other documents required to:

**Pilot Application Team**  
**Estates and Infrastructure Exchange**  
**107 Cheapside**  
**London**  
**EC2V 6DN**

# Pilot application form

## A. Pilot Details

Name of Firm

Registered Office

Head Office  
(if different to  
Registered Office)

Nature of Entity  
(e.g. limited company  
or partnership)

Company Number

FCA Status  
(e.g. authorised, AR)

Status Effective  
Date

FCA Reference No

FCA Permissions

## B. Relevant Transactions

Please describe other examples of infrastructure or development related Project Bonds the applicant has been involved in arranging.

Transaction Description	Role performed by applicant	Market Outcome	Fundraise Total

### C. Pilot Profile

Please provide a statement of the applicant's profile.

Pilot's undertaking to EIX  
(to be signed by applicant)

Name of Pilot applicant

The above applicant hereby applies for approval as a Pilot to EIX. If EIX grants this application, it undertakes to comply with and discharge its responsibilities and obligations as a Pilot under the EIX Pilot Rules with due skill and care.

The above applicant declares that all of the information contained in this application form and otherwise supplied is complete, true, accurate and not misleading and that it has read the EIX Pilots Rules and believes that this application conforms to them.

This undertaking must be signed by two directors, partners or duly authorised officers of the Pilot applicant.

Signature of duly authorised Signatory for and on behalf of the applicant:		
Name in block capitals:		
Date		