



EIX Primary and Secondary Markets

M2: Membership application form

May 2019

How to complete this application form

This application form should be completed by applicants seeking to become Investors on the Estates and Infrastructure Exchange (“EIX”) platform.

It is essential to read this application form in conjunction with the EIX Rules for Members as published by the Exchange from time to time. (“Member Rules”)

If you would like to discuss the application process or the Member Rules in more detail, please contact the EIX Membership Partner at members@eix.global

In accordance with the Member Rules, applicants must submit a completed application form together with any supporting documents. In addition to information that is specifically requested on this form the applicant should supply:

- company statutes and the certificate of incorporation or in the case of a partnership, the list of partners and the partnership deed
- a diagram outlining the group/partnership structure
- applicants audited accounts for the last three years
- a Qualified Executive form for each of the applicant firm’s approved executives (see Member Qualified Executive Form in Appendix)

EIX will keep confidential all information provided to it by the applicant in relation to its application except to the extent that disclosure of any of all of the information is necessary for the exercise of its regulatory duty or is otherwise required by law.

Please return this form with all other documents required to:

Member Application Team
Estates and Infrastructure Exchange
107 Cheapside
London
EC2V 6DN

Member application form

A. Member Details

Name of Legal Entity

Registered Office

Head Office
(if different to
Registered Office)

Nature of Entity
(e.g. limited company
or partnership)

Company Number

LEI Code

FCA Status
(e.g. authorised, AR)

Status Effective
Date

FCA Reference No

FCA Permissions

Contact person

Contact details

B. Objective of the Application

Admission to the Secondary Market

Admission to the Primary and Secondary Market¹

To become a Liquidity Provider²

Will the Member be investing/trading
its own proprietary funds?

Will the Member be investing/trading
on behalf of clients?

¹ All Members of the Primary Market are granted automatic membership of the Secondary Market.
² Liquidity Providers are Members that buy tranches of bonds on the Primary Market under an obligation to make some available on the Secondary Market for sale and placing up for Auction by entering an offer price

C. Declaration from the legal entity filing the Admission Application

Member's undertaking to EIX
(to be signed by applicant)

Name of Member applicant

The above applicant hereby applies for approval as a Member of EIX. If EIX grants this application, it undertakes to comply with and discharge its responsibilities and obligations as a Member under the EIX Member Rules with due skill and care.

The above applicant declares that all of the information contained in this application form and otherwise supplied is complete, true, accurate and not misleading and that it has read the EIX Member Rules and believes that this application conforms to them.

This undertaking must be signed by two directors, partners or duly authorised officers of the Member applicant.

Signature of a duly authorised officer for and on behalf of the applicant:		
Name of signatories in block capitals:		
Date:		